

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,192	12/20/2001	Yehiel Gotkis	LAM2P314

25920  
MARTINE & PENILLA, LLP  
710 LAKEWAY DRIVE  
SUITE 170  
SUNNYVALE, CA 94085

CONFIRMATION NO. 5398

## FORMALITIES LETTER



\*OC000000007433514\*

Date Mailed: 02/06/2002

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

***Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

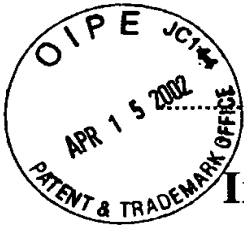
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

In the United States Patent and Trademark Office

Applicant: GOTKIS et al. )  
Applicant's Reference: LAM2P314 ) Examiner: Unknown  
Application No: 10/029,192 ) Art Unit: 1765  
Filed: December 20, 2001 ) Date: April 4, 2002  
For: METHOD AND APPARATUS FOR CHEMICAL )  
MECHANICAL PLANARIZATION )

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on April 4, 2002.

Signed: \_\_\_\_\_

Neely J. Welby

Separate Letter to the Official Draftsperson

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith are the eleven (10) sheets of Formal Drawings (FIGs. 1A, 1B, 2, 3A, 3B, 4, 5, 6, 7, 8, and 9) for the above-referenced case. If the draftsperson has any questions concerning these drawings, please contact the undersigned at the number set forth below.

If any fees are due in connection with the filing of these drawings, please charge such fees to deposit account 50-0805 (Order No. LAM2P314).

Respectfully submitted,  
MARTINE & PENILLA, LLP

Joe A. Brock, II, Esq.  
Reg. No. 46,021

710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
Tel (408) 749-6900  
Fax (408) 749-6901  
Customer Number 25920

Attorney Docket No: LAM2P314



Receya  
#4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

GOTKIS et al.

Application No. 10/029,192

Filed: December 20, 2001

For: METHOD AND APPARATUS FOR CHEMICAL  
MECHANICAL PLANARIZATION

Examiner: Unassigned

Art Unit: 1765

Docket No. LAM2P314

Date: April 4, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on April 4, 2002

Signed: \_\_\_\_\_

Noely J. Weldy

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully request issuance of a new Filing Receipt for the above-identified patent application that lists the correct spelling of the second inventor's first name. Accordingly, please delete "Aleksandar Owczarz" and insert --Aleksander Owczarz--. A copy of the Filing Receipt with the requested change noted thereon is attached.

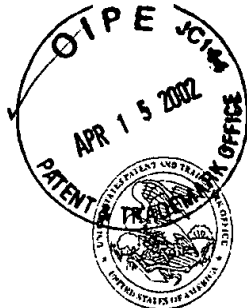
Applicants believe that no fees are due in connection with this matter. However, in the event that it is determined that any fees are due, the Commissioner is authorized to charge such fees to Deposit Account 50-0805 (Order No. LAM2P314).

Respectfully submitted,  
MARTINE & PENILLA, LLP

Joe A. Brock, II, Esq.  
Reg. No. 46,021

710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
Telephone (408) 749-6900  
Customer No. 25920  
Attorney Docket No. LAM2P314

SCANNED, #10



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/029,192	12/20/2001	1765	740	LAM2P314	10	20	3

CONFIRMATION NO. 5398

25920  
MARTINE & PENILLA, LLP  
710 LAKEWAY DRIVE  
SUITE 170  
SUNNYVALE, CA 94085

## FILING RECEIPT



\*OC000000007433513\*

Date Mailed: 02/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yehiel Gotkis, Fremont, CA;  
~~Aleksander Owczar~~, San Jose, CA; Aleksander Owczarz  
Rod Kistler, Los Gatos, CA;

## Assignment For Published Patent Application

LAM Research Corp., Fremont, CA;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/06/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

Method and apparatus for chemical mechanical planarization